

**THE** **NEW** **YORK** **PUBLIC** **LIBRARY** **ASTOR LENOX TILDEN FOUNDATION**

## NAME OF INVENTORS:

Plainsboro, NJ 08536

Germany

Plainsboro, NJ 08536

## India

Piscataway, NJ 08854

## Romania

## CAMERA SURVEILLANCE SYSTEM

TO WHOM IT MAY CONCERN, THE FOLLOWING IS  
A SPECIFICATION OF THE AFORESAID INVENTION

**STATISTICAL MODELING AND PERFORMANCE CHARACTERIZATION OF  
A REAL-TIME DUAL CAMERA SURVEILLANCE SYSTEM**

5

**BACKGROUND OF THE INVENTION**

1. Field of the Invention

The present invention relates to computer vision  
10 systems, more particularly to a system having  
computationally efficient real-time object detection,  
tracking, and zooming capabilities.

2. Description of Prior Art

15 Recent advancements in processing and sensing  
performances are facilitating increased development of  
real-time video surveillance and monitoring systems.

The development of computer vision systems that meet  
application specific computational and accuracy needs are  
20 important to the deployment of real-life computer vision  
systems. Such a computer vision system has not yet been  
realized.

Past works have addressed methodological issues and  
have demonstrated performance analysis of components and  
25 systems. However, it is still an art to engineer systems  
that meet given application needs in terms of  
computational speed and accuracy. The trend in the art  
is to emphasize statistical learning methods, more  
particularly Bayesian methods for solving computer vision  
30 problems. However, there still exists the problem of

choosing the right statistical likelihood model and the right priors to suit the needs of an application. Moreover, it is still computationally difficult to satisfy real-time application needs.

5 Sequential decomposition of the total task into manageable sub-tasks (with reasonable computational complexity) and the introduction of pruning thresholds is one method to solve the problem. Yet, this introduces additional problems because of the difficulty in

10 approximating the probability distributions of observables at the final step of the system so that Bayesian inference is plausible. This approach to perceptual Bayesian is described, for example, in V. Ramesh et al., "Computer Vision Performance

15 Characterization," RADIUS: Image Understanding for Imagery Intelligence, edited by, O. Firschein and T. Strat, Morgan Kaufmann Publishers, San Francisco, 1997, incorporated herein by reference, and W. Mann and T. Binford, "Probabilities for Bayesian Networks in Vision,"

20 Proceedings of the ARPA IU Workshop, 1994, Vol. 1, pp. 633-643. The work done by Ramesh et al., places an emphasis on performance characterization of a system, while Mann and Binford attempted Bayesian inference (using Bayesian networks) for visual recognition. The

25 idea of gradual pruning of candidate hypotheses to tame the computational complexity of the

estimation/classification problem has been presented by Y. Amit and D. Geman, "A computational model for visual selection," Neural Computation, 1999. However, none of the works identify how the sub-tasks (e.g., feature  
5 extraction steps) can be chosen automatically given an application context.

Therefore, a need exists for a method and apparatus for a computationally efficient, real-time camera surveillance system with defined computational and  
10 accuracy constraints.

#### **SUMMARY OF THE INVENTION**

The present invention relates to computer vision systems, more particularly to a system having  
15 computationally efficient real-time detection and zooming capabilities.

According to an embodiment of the present invention, by choosing system modules and performing an analysis of the influence of various tuning parameters on the system  
20 a method according to the present invention performs proper statistical inference, automatically set control parameters and quantify limits of a dual-camera real-time video surveillance system. The present invention provides continuous high resolution zoomed-in image of a  
25 person's head at any location in a monitored area. Preferably, an omni-directional camera video used to

detect people and to precisely control a high resolution foveal camera, which has pan, tilt and zoom capabilities. The pan and tilt parameters of the foveal camera and its uncertainties are shown to be functions of the underlying geometry, lighting conditions, background color/contrast, relative position of the person with respect to both cameras as well as sensor noise and calibration errors. The uncertainty in the estimates is used to adaptively estimate the zoom parameter that guarantees with a user specified probability,  $V$ , that the detected person's face is contained and zoomed within the image.

The present invention includes a method for selecting intermediate transforms (components of the system), as well as processing various parameters in the system to perform statistical inference, automatically setting the control parameters and quantifying a dual-camera real-time video surveillance system.

Another embodiment of the present invention relates to a method for visually locating and tracking an object through a space. The method chooses modules for a restricting a search function within the space to regions with a high probability of significant change, the search function operating on images supplied by a camera. The method also derives statistical models for errors, including quantifying an indexing step performed by an indexing module, and tuning system parameters. Further,

the method applies a likelihood model for candidate hypothesis evaluation and object parameters estimation for locating the object.

5 The step of choosing the plurality of modules further includes applying a calibration module for determining a static scene, applying an illumination-invariant module for tracking image transformation, and applying the indexing module for selecting regions of interest for hypothesis generation. Further, the method  
10 can apply a statistical estimation module for estimating a number of objects and their positions, and apply a foveal camera control module for estimating control parameters of a foveal camera based on location estimates and uncertainties.

15 Additional modules can be applied by the method, for example, a background adaptation module for detecting and tracking the object in dynamically varying illumination situations.

20 Each module is application specific based on prior distributions for imposing restrictions on a search function. The prior distributions includes for example: an object geometry model; a camera geometry model; a camera error model; and an illumination model.

25 According to an embodiment of the present invention the camera is an omnicamera. Further, the object is tracked using a foveal camera.

The method derives statistical models a number of times to achieve a given probability of misdetection and false alarm rate. The method also validates a theoretical model for the space monitored for determining correctness and closeness to reality. The indexing module selects regions with a high probability of significant change, motivated by two dimensional image priors induced by prior distributions in the space, where the space is in three dimensional.

The method of applying a likelihood model includes estimating an uncertainty of the object's parameters for predicting a system's performance and for automating control of the system.

In an alternative embodiment the method can be employed in an automobile wherein the space includes an interior compartment of the automobile and/or the exterior of the automobile.

In yet another embodiment of the present invention, a computer program product is presented. The program product includes a computer program code stored on a computer readable storage medium for, for detecting and tracking objects through a space. The computer program product includes computer readable program code for causing a computer to choose modules for a restricting search functions within a context to regions with a high probability of significant change within the space. The

computer program product also includes computer readable  
program code for causing a computer to derive statistical  
models for errors, including quantifying an indexing  
step, and tuning system parameters. Further included is  
5 computer readable program code for causing a computer to  
apply a likelihood model for candidate hypothesis  
evaluation and object parameters estimation within the  
space.

#### 10 BRIEF DESCRIPTION OF THE DRAWINGS

Preferred embodiments of the present invention will  
be described below in more detail with reference to the  
accompanying drawings:

FIG. 1 is a block diagram showing a method for  
15 tracking an object through a space according to one  
embodiment of the present invention;

FIG. 2 is an illustration of a system of cameras for  
tracking a person according to one embodiment of the  
present invention;

20 FIG. 3 is an illustration of an omni-image including  
the geometric relationships between elements of the  
system while tracking a person according to one  
embodiment of the present invention;

FIG. 4 is an illustration of how uncertainties in  
25 three dimensional radial distances influence foveal  
camera control parameters; and



FIG. 5 is an illustration of the geometric relationship between a foveal camera and a person.

Throughout the diagrams, like labels in different figures denote like or corresponding elements or relationships. Further, the drawings are not to scale.

#### DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

The present invention solves the problems existing in the prior art described above, based on the following methods.

*System Configuration choice:* According to one embodiment of the present invention, modules are chosen for an optical surveillance system, by use of context, in other words: application specific prior distributions for modules. These modules can include, for example, object geometry, camera geometry, error models and illumination models. Real-time constraints are imposed by pruning or indexing functions that restrict the search space for hypotheses. The choice of the pruning functions is derived from the application context and prior knowledge. A proper indexing function will be one that simplifies computation of the probability of false hypothesis or the probability of missing a true hypotheses as a function of the tuning constraints.

#### *Statistical modeling and Performance*

*Characterization:* According to an aspect of the present

invention, the derivation of statistical models for errors at various stages in the chosen vision system configuration assists in quantifying the indexing step. The parameters are tuned to achieve a given probability of miss-detection and false alarm rate. In addition, a validation of theoretical models is performed for correctness (through Monte-Carlo simulations) and closeness to reality (through real experiments).

*Hypotheses verification and parameter estimation:*

Bayesian estimation is preferably used to evaluate candidate hypotheses and estimate object parameters by using a likelihood model,  $P(\text{measurements}/\text{hypothesis})$ , that takes into account the effects of the pre-processing steps and tuning parameters. In addition, the uncertainty of the estimate is derived to predict system performance.

One embodiment of the present invention includes a two camera surveillance system which continuously provides zoomed-in high resolution images of the face of a person present in a room. These images represent the input to higher-level vision modules, e.g., face recognition, compaction and event-logging.

In another embodiment, the present invention provides: 1) real-time performance on a low-cost PC, 2) person misdetection rate of  $\Pi_m$ , 3) person false-alarm rate of  $\Pi_f$ , 4) adaptive zooming of person irrespective of

background scene structure with maximal possible zoom based on uncertainty of person attributes estimated (e.g., location in three dimensional (3D), height, etc.), with performance of the result characterized by face resolution attainable in area of face pixel region (as a function of distance, contrast between background and object, and sensor noise variance and resolution) and bias in the centering of the face. In addition, the method makes assumptions about scene structure, for example, the scene illuminate consists of light sources with similar spectrum (e.g., identical light sources in an office area), the number of people to the detected and tracked is bounded, and the probability of occlusion of persons (due to other persons) is small.

Referring to FIG. 2, to continuously monitor an entire scene, the present invention uses an omnidirectional sensor including a omni-camera 205 and a parabolic mirror 206, for example, the OmniCam of S. Nayer, "Omnidirectional Video Camera," Proceedings of the DARPA Image Understanding Workshop, Vol. 1, pp. 235-242, 1997. This camera is preferably mounted below the ceiling 200 looking into the parabolic mirror located on the ceiling. The parabolic mirror 206 enables the camera 205 to see in all directions simultaneously. Note that FIG. 2 is an illustration of one embodiment of the present invention. Other embodiments are contemplated,

including, for example, different mirror alignments,  
alternative camera designs (including, for example,  
catadioptric stereo, panoramic, omni, and foveal  
cameras), varying the orientation of the cameras and  
multiple cameras systems. The present invention can be  
employed using a verity of cameras, calibration modules  
(discussed below) including a combination of real world  
and image measurements, compensate for different  
perspectives.

The present invention uses omni-images to detect and  
estimate the precise location of a given person's foot in  
the room and this information is used to identify the  
pan, tilt and zoom settings for a high-resolution foveal  
camera. An omni-image is the scene as viewed from the  
omni-camera 205, typically in conjunction with a  
parabolic mirror 206, mounted preferably on the ceiling  
200.

According to one embodiment of the present  
invention, the choice of the various estimation steps in  
the system is motivated from image priors and real-time  
requirements. The camera control parameters, e.g., pan  
and tilt, are selected based on the location estimate and  
its uncertainty (that is derived from statistical  
analysis of the estimation steps) so as to center the  
person's head location in the foveal image. The zoom  
parameter is set to maximum value possible so that the

camera view still encloses the persons head within the image.

5 The general Bayesian formulation of the person detection and location estimation problem does not suit the real-time constraints imposed by the application. In one embodiment of the present invention, this formulation is used only after a pruning step. The pruning step rules out a majority of false alarms by designing an indexing step motivated by the two dimensional (2D) image priors (region size, shape, intensity characteristics) induced by the prior distribution in the 3D scene. The prior distributions for person shape parameters, including, for example, size, height, and his/her 3D location, are reasonably simple. These priors on the person model parameters induce 2D spatially variant prior distributions in the projections, e.g., the region parameters for a given person in the image depends on the position in the image, whose form depends on the camera projection model and the 3D object shape. In addition to shape priors, the image intensity/color priors can be used in the present invention.

Typically, a method according to the present invention does not make assumptions about the object intensity, e.g., the homogeneity of the object since people can wear variety of clothing and the color spectrum of the light source is therefore not

constrained. However, in an alternative embodiment, in a surveillance application, the background is typically assumed to be a static scene (or a slowly time varying scene) with known background statistics. Gaussian mixtures are typically used to approximate these densities. To handle shadowing and illumination changes, these distributions are computed after the calculation of an illumination invariant measure from a local region in an image. The prior distribution of the spectral components of the illuminants are assumed to have same but unknown spectral distribution. Further, the noise model for CCD sensor noise 106 can be specified. This is typically chosen to be i.i.d. zero mean Gaussian noise in each color band.

In one embodiment of the present invention, the system preferably includes five functional modules: calibration, illumination-invariant measure computation at each pixel, indexing functions to select sectors of interest for hypothesis generation, statistical estimation of person parameters (e.g., foot location estimation), and foveal camera control parameter estimation.

Referring to FIG. 1, block diagram of the transformations applied to the input. A sensor 100, for example, an omnidirectional camera, records a scene 105, which preferably is recorded as a color image, the scene

105 is sent to input 110 as:  $\hat{R}(x,y), \hat{G}(x,y), \hat{B}(x,y)$ . The sensor is also subject to sensor noise 106 which will become part of the input 110.

5           The input 110, defined above, is transformed 115  
( $T:R^3 \rightarrow R^2$ ), typically to compute an illumination invariant  
measure  $\hat{r}_c(x,y), \hat{g}_c(x,y)$  120. The statistical model for the  
distribution of the invariant measure is influenced by  
the sensor noise model and the transformation  $T(\cdot)$ . The  
10   invariant measure mean ( $B_o(x,y) = (r_b(x,y), g_b(x,y))$ ) and  
covariance matrix  $\sum_{B_o}(x,y)$ , is computed at each pixel  
( $x,y$ ) from several samples of  $R(x,y), G(x,y), B(x,y)$  for  
the reference image 121 of the static scene. A change  
detection measure  
15    $\hat{d}^2(x,y)$  image 130 is obtained by computing the Mahalanobis  
distance 125 between the current image data values  
 $\hat{r}_c(x,y), \hat{g}_c(x,y)$  and the reference image data  $B_o(x,y)$ . This  
distance image is used as input to two indexing functions  
 $P_1()$  135 and  $P_2()$  140.  $P_1()$  135 discards the radial lines  
20   2 by choosing hysteresis thresholding parameters 136 that  
satisfy a given combination of probability of false alarm  
and miss-detection values, passing the results 137 to  $P_2()$   
140.  $P_2()$  140 discards segments along the radial lines in  
the same manner, by choosing hysteresis thresholding  
25   parameters 138. The result is a set of regions with high  
probability of significant change 141. At this point the

method employs a full blown statistical estimation technique 145 that uses the 3D model information 146, camera geometry information 147, priors 148 (including objects, shape, and 3D location), to estimate the number  
5 of objects and their positions 150. The method preferably estimates the control parameters 155 for the foveal camera based on the location estimates and uncertainties. Accordingly, the foveal camera is directed by the control parameters and hysteresis  
10 thresholding parameters, for example, a miss-detection threshold.

Additional modules are contemplated by the present invention. For example, a background adaptation module 111. To generalize the system and cover outdoor and  
15 hybrid illumination situations (indoor plus outdoor illumination) as well as slow varying changes in the static background scene, the present invention incorporates a scheme described in "Adaptive background mixture models for real-time tracking", Chris Stauffer,  
20 W.E.L. Grimson (Proceedings of the CVPR conference, 1999), incorporated herein by reference. It can be shown qualitatively that the statistics for background pixels can be approximated by a Gamma distribution. The statistics are stable within a given time window. In the  
25 present invention the background adaptation module is fused with the system, without changing the entire



analysis and algorithm. By re-mapping the test-statistic derived from the data, so that the cumulative density function of the re-mapped test-statistic approximates the cumulative density function of a Chi-square distribution.

5 Therefore, the result of the Grimson-approach is re-mapped pixelwise to obtain  $d\hat{g}^2$  in block 112, following the transform described below. By adding  $d\hat{g}^2$  112 (for each pixel) to the  $\hat{d}^2$  value 130 (see equ. 7), a new distance image is obtained. This distance image can be  
10 input to the index function 135.

The output of the background adaptation module 111 is also used to update the static background statistics, as shown in block 121.

15 The distribution of pixels of the new distance measurement are also Chi-square distributed. The only difference is a rise in the degree of freedoms from two to three. The analysis remains the same, the thresholds are derived as described below. This is an illustration of how different modules can be fused in an existing  
20 framework without changing the statistical analysis. After reading the present invention, formulation of these additional modules will be within the purview of one ordinary skilled in the art.

25 The projection model for the two cameras is discussed below with respect to FIGs. 2 through 5. The following geometric model parameters are denoted as:

- $H_o$  height of OmniCam above floor (inches)
- $H_f$  height of foveal camera above floor (inches)
- $H_p$  person's height (inches)
- $R_h$  person's head radius (inches)
- 5 •  $R_f$  person's foot position in world coordinates (inches)
- $D_c$  on floor projected distance between cameras (inches)
- $p(x_c, y_c)$  position of OmniCam center, (in omni-image) (pixel coordinates)
- 10 •  $r_m$  radius of parabolic mirror (in omni-image) (pixels)
- $r_h$  distance person's head - (in omni-image) (pixels)
- $r_f$  distance person's foot - (in omni-image) (pixels)
- $\eta$  - angle between the person and the foveal camera relative to the OmniCam image center (Please see figure 3).
- 15 •  $2$  - angle between the radial line corresponding to the person and the zero reference line (please see figure 3).

20 Where capital variables are variables in 3D, and small variables are given in image coordinates. During the calibration step (combination of real world and image measurements)  $H_o$ ,  $H_f$ ,  $D_c$ ,  $r_m$  and  $p(x_c, y_c)$  are initialized and the corresponding standard deviations or tolerances are

25 determined. In a preferred embodiment the calibration step is performed offline. Heights are typically

calculated from the floor 201 up.

Using the geometric features of an OmniCam 205, including a parabolic mirror, and under the hypothesis that the person 220 is standing upright, the relationship between  $r_f$  respectively  $r_h$  and  $R_p$  can be shown to be:

$$R_p = aH_o \quad a = 2 \frac{r_m r_f}{r_m^2 - r_f^2} \quad \text{with} \quad (1)$$

$$R_p = b(H_o - H_p) \quad b = 2 \frac{r_m r_h}{r_m^2 - r_h^2} \quad \text{with} \quad (2)$$

Let  $\forall$ , and  $\exists$  be the foveal camera 210 control parameters for the tilt and pan angles respectively. Further,  $D_p$ , the projected real world distance between the foveal camera 210 and the person 220. Assuming, the person's head is approximately located over his/her feet, and using basic trigonometry in FIGs. 2 and 3, it can easily be seen that  $D_p$ ,  $\forall$ , and  $\exists$  are equal to:

$$D_p = \sqrt{D_c^2 + R_p^2 - 2D_c R_p \cos(\vartheta)} \quad (3)$$

$$\tan(\forall) = \frac{H_p - R_h - H_f}{D_p}; \sin(\beta) = \frac{R_p}{D_p} \sin(\vartheta) \quad (4)$$

where  $\vartheta$  is the angle between the person 220 and the foveal camera 210 relative to the OmniCam 205 position.

This step is the module that takes in as input, the current color image  $(\hat{R}(x,y), \hat{G}(x,y), \hat{B}(x,y))$ , normalizes it to

obtain  $(\hat{r}_c(x,y), \hat{g}_c(x,y))$  and compares it with the background statistical model  $(B_o(x,y), \sum_{B_o}(x,y))$  to produce an illumination invariant measure image  $\hat{d}^2(x,y)$ . This section illustrates the derivation of the distribution of  $\hat{d}^2(x,y)$  given that the input image measurements  $\hat{R}, \hat{G}$  and  $\hat{B}$  are Gaussian with mean  $R, G, B$ , and identical standard deviation  $\Phi$ .

With respect to FIG. 1, the illumination prior assumption 116, is that the scene contains multiple light sources with the same spectral distribution with no constraint on individual intensities. To compensate for shadows which are often present in the image, the method employs a shadow invariant representation of the color data. The invariant representation is according to G. Wyszecki and W.S. Stiles "Color Science: Concepts and Methods, Quantitative Data and Formulae," John Wiley & Son, 1982 incorporated herein by reference. Accordingly, let  $S = R+G+B$ . The illumination normalizing transform  $T: R^3 \rightarrow R^2$  appropriate for the method's assumptions is:

$$r = \frac{R}{R+G+B}, g = \frac{G}{R+G+B}.$$
 It can be shown that, the uncertainties in the normalized estimates  $\hat{r}$  and  $\hat{g}$  are dependent not only on sensor noise variance, but also on the actual true unknown values of the underlying samples (due to the non-linearities in the transformation  $T(.)$ ). Based on the assumption of a moderate signal to noise ratio (i.e.,  $\Phi \ll S$ ), the method approximates  $(\hat{r}, \hat{g})^T$  as

having a normal distribution with pixel-dependent covariance matrix

$$\begin{pmatrix} \hat{r} \\ \hat{g} \end{pmatrix} = \begin{pmatrix} \frac{R + \eta_R}{S + \eta_R + \eta_G + \eta_B} \\ \frac{G + \eta_R}{S + \eta_R + \eta_G + \eta_B} \end{pmatrix} \sim N \left( \begin{pmatrix} r \\ g \end{pmatrix}, \Sigma_{\hat{r}, \hat{g}} \right)$$

5      with      
$$\Sigma_{\hat{r}, \hat{g}} = \frac{\sigma^2}{S^2} \begin{pmatrix} 1 - \frac{2R}{S} + 3\frac{R^2}{S^2} & -\frac{R+G}{S} + 3\frac{RG}{S^2} \\ -\frac{R+G}{S} + 3\frac{RG}{S^2} & 1 - \frac{2G}{S} + 3\frac{G^2}{S^2} \end{pmatrix} \quad (5)$$

The values of  $\sigma_{\hat{r}, \hat{r}}^2$ ,  $\sigma_{\hat{g}, \hat{g}}^2$ , and  $\sigma_{\hat{r}, \hat{g}}^2$  are determined offline for an entire OmniCam 205 frame, e.g., for each point or pixel on the image plane 207. These points vary spatially. Note, that in the normalized space the covariance matrix for each pixel is different: Bright regions in the covariance image correspond to regions with high variance in the normalized image. These regions correspond to dark regions in *RGB* space.

15      Since the covariance matrices in the normalized space are pixel-dependent, a method according to the present invention calculates the test statistic, i.e., the Mahalanobis distance  $d^2$ , that provides a normalized distance measure of a current pixel being background. Let

20       $\hat{\mu}_b$  be the vector of mean  $r_b$ , and mean  $g_b$  at a certain background position (mean  $b_b$  is redundant, due to

normalization), and  $\hat{\mu}_c$  be the corresponding vector of the current image pixel. Since

$$\begin{pmatrix} \hat{r}_c - \hat{r}_b \\ \hat{g}_c - \hat{g}_b \end{pmatrix} \sim N \left( \begin{pmatrix} r_c - r_b \\ g_c - g_b \end{pmatrix}, \Sigma_{\hat{r}_c, \hat{g}_c} + \Sigma_{\hat{r}_b, \hat{g}_b} \right)$$

(6)

5 the method can define, for each pixel, a metric  $\hat{d}^2$  which corresponds to the probability, that  $\hat{\mu}_c$  is background pixel:

$$\hat{d}^2 = (\hat{\mu}_b - \hat{\mu}_c)^T (2 \Sigma_{\hat{r}_b, \hat{g}_b})^{-1} (\hat{\mu}_b - \hat{\mu}_c) \quad (7)$$

10 For background pixels,  $\hat{d}^2$  is approximately  $\chi^2$  distributed with two degrees of freedom. For object pixels  $\hat{d}^2$  happens to be non-central  $\chi^2$  distributed with two degrees of freedom, and non-centrality parameter  $c$ .

15 To address real-time computational requirements of the application the method identifies sectorized segments in the image that potentially contains people of interest. To perform this indexing step in a computational efficient manner the method defines two

20 index functions  $P_1()$  and  $P_2()$  that are applied sequentially as shown in FIG. 1. Essentially  $P_1()$  and  $P_2()$  are projection operations. For instance, define  $\hat{d}^2(R, \theta)$  as the change detection measure

25 image in polar coordinates with coordinate system origin at the omni-image center  $p(x_c, y_c)$ . Then,  $P_1()$  is chosen to be the projection along radial lines to obtain  $\hat{M}_\theta$ , the

test statistic that can be used to identify changes along a given direction 2. This test statistic is justified by the fact that the object projection is approximated by a line-set (approximated as an ellipse) whose major axis passes through the omni-image center with a given length distribution that is a function of the radial foot position coordinates of the person in the omni-image.

This section derives the expressions for the probabilities of false alarm and misdetection at this step as a function of the input distributions for  $\hat{d}^2(R, \theta)$ , the prior distribution for the expected fraction of the pixels along a given radial line belonging to the object, and the noncentrality parameter of  $\hat{d}^2(R, \theta)$  in object locations.

Let  $L_{\theta}^{x_c, y_c}$  be a radial line through  $p(x_c, y_c)$ , parameterized by angle 2, and  $\hat{M}(\theta) = \sum_r d_{\theta}^2(r)$  denote the accumulative measure of  $d^2$  values at image position  $p(\theta, r)$  parameterized by angle 2 and distance  $r$  in a polar coordinate system at  $p(x_c, y_c)$ . Applying Canny's hysteresis thresholding technique on  $\hat{M}(\theta)$ , provides the sectors of significant change bounded by left and right angles  $2_l$  respectively  $2_r$ . Let  $r_m$  be the total number of pixels along a radial line  $L_{\theta}^{x_c, y_c}$ , and  $k$  be the expected number of object pixels along this line. The distribution of  $k$  can be derived from the projection model and the 3D prior models for person height, size, and position described

previously. The distribution of the cumulative measure is:

$$\text{Background} \quad M_q \sim c_{2r_m}^2(0) \quad (8)$$

5

$$\text{Object} \quad M_q \sim (r_m - k)c_{2(r_m - k)}^2(0) + kc_{2k}^2(c) \quad (9)$$

with  $c \in [0 \dots \text{inf})$ .

To obtain a false-alarm rate for false sectors of equal or less than  $x_f\%$  the method can set the lower threshold  $T_l$  so that

10

$$\int_0^{T_l} \chi_{M_\theta}^2(\varepsilon) d\varepsilon = 1 - x_f\% \quad (10)$$

To guarantee a misdetection rate of equal or less than  $x_m\%$ , theoretically, the method can solve for an upper threshold  $T_u$  similarly by evaluating the distribution in object equation above. Note that  $k$  is a function of  $H_p$ ,  $R_f$ , and  $c$ . Therefore, the illustrative method would need to know the distributions of  $H_p$ ,  $R_f$ , and  $c$  to solve for  $T_u$ . Rather than make assumptions about the distribution of non-central parameter  $c$ , the method uses LUT  $T_u(x_m)$  generated by simulations instead.

15

20

The second index function  $P_2()$  essentially takes as input the domain corresponding to the radial lines of interest and performs a pruning operation along the radial lines  $R$ . This is done by the computation of  $\bar{d}_{\theta_j}^2(r)$  the integration of the values  $\hat{d}^2()$  along  $2\theta = 2 + \pi/2$  (within

25



a finite window whose size is determined by the prior density of the minor axis of the ellipse projection), for each point  $r$  on the radial line 2. The derivation of the distribution of the test statistic and the choice of the thresholds are exactly similar to the above step.

The illustrative method derives the distributions of the  $\hat{d}^2$  image measurements, and has narrowed the hypotheses for people location and attributes. The method performs a Bayes estimation of person locations and attributes. This step uses the likelihood models  $L(\hat{d}^2|background)$  and  $L(\hat{d}^2|object)$  along with 2D prior models for person attributes induced by 3D object priors  $P(R_p)$ ,  $P(H)$ ,  $P(2)$  and  $P(S)$ . The present embodiment uses the fact that the probability of occlusion of a person is small to assert that the probability of a sector containing multiple people is small. The center angle  $2_f$  of a given sector would in this instance provide the estimate of the major axis of the ellipse corresponding to the person. It is then sufficient to estimate the foot location of person along the radial line corresponding to  $2_f$ . The center angle  $2_f$  of the sector defines the estimate for the angular component of the foot position. The illustrative method approximates  $\hat{\theta}_f$  to be normal distributed with unknown  $2_f$  and variance  $\sigma\theta_f$ .  $2_f$ 's are estimated as the center positions of the angular sectors given by  $P_1()$ . The standard deviation of a given

estimate can be determined by assuming that the width of the angular sector gives the 99 percentile confidence interval. Alternatively, this estimation can be obtained through sampling techniques.

5            Given the line  $2_f$  it is necessary to estimate the foot position of the person along this radial line. To find this estimate and variance of the radial foot position  $r_f$  the method chooses the best hypothesis for the foot position that minimizes the Bayes error. Let  $P(h_i|m)$

10          denote the posterior probability to be maximized, where  $h_i$  denotes the  $i$ th out of multiple foot position hypotheses and  $m$  the measurements  $(\bar{d}_{\theta_f}^2(r))$ , that are statistically independent; hyper-script  $b$  or  $o$  denotes background respectively object:

$$\begin{aligned}
 & P(h_i|m) \\
 & = P(h_i^b|m^b)P(h_i^o|m^o) = P(h_i^b|m^b)(1 - P(\bar{h}_i^o|m^o)) \\
 & = \frac{p(m^b|h_i^b)P(h_i^b)p(m^o) - p(m^o|\bar{h}_i^o)P(\bar{h}_i^o)}{p(m^b)p(m^o)}
 \end{aligned} \tag{11}$$

15            where  $p$  denotes the density function.  $P(h_i*m)$  becomes maximal for maximal  $p(m^b|h_i^b)$  and minimal  $p(m^o|\bar{h}_i^o)$ , so that

$$r_f = \arg \max_{r'_f} \log \left( \frac{p(m^b|h_i^b)}{p(m^o|\bar{h}_i^o)} \right) = \tag{12}$$

$$\arg \max_{r'_f} \left( \sum_{r=0}^{r'_f-1} \bar{d}_{\theta_f}^2(r) + \sum_{r=r_h(r'_f)}^{r_m} \bar{d}_{\theta_f}^2(r) - \sum_{r=r_f}^{r_h(r'_f)-1} \bar{d}_{\theta_f}^2(r) \right)$$

In one embodiment of the present invention, an estimate of the uncertainty in the foot position  $r_f$  is

5 made. The method provides pdf's up to the latest step in the algorithm. At this point it is affordable to simulate the distribution of  $r_f$  and generate  $\sigma_{\hat{r}_f}^2$  via perturbation analysis, since only few estimates with known distributions are involved in few operations. The method can approximate  $\hat{r}_f$  as Gaussian distributed with  
10 unknown mean  $r_f$ , and variance  $\sigma_{\hat{r}_f}^2$ .

Once the foot position  $p(\theta_f, r_f)$  is known, the method can apply formula 1 through 4 above, to estimate 3D distances  $R_p$ ,  $D_p$ , and foveal camera control parameter tilt  $\forall$ , pan  $\exists$  and zoom factor  $z$ .  
15

FIGs. 4 and 5 illustrate how uncertainties in 3D radial distance  $R_p$  influence the foveal camera control parameters. For the following error propagation steps the method assumes that  $\hat{r}_m, \hat{r}_p, \hat{H}_o, \hat{H}_p, \hat{H}_f$ , and  $\hat{D}_c$  are Gaussian  
20 random variables with true unknown means  $r_m, r_p, H_o, H_p, R_h, H_f$ , and  $D_c$ , and variances  $\sigma_{\hat{r}_m}^2, \sigma_{\hat{r}_p}^2, \sigma_{\hat{H}_o}^2, \sigma_{\hat{H}_p}^2, \sigma_{\hat{R}_h}^2, \sigma_{\hat{H}_f}^2$  and  $\sigma_{\hat{D}_c}^2$

respectively (all estimated in the calibration phase). The estimates and it's uncertainties propagate through the geometric transformations. The method produces the

final results for the uncertainties in tilt  $\forall$ , and pan  $\exists$ , which were used to calculate the zoom parameter  $z$ . (for more details, and derivations of  $\sigma_{\hat{R}_p}^2, \sigma_{\hat{D}_p}^2$  see M.

5 Greiffenhagen and V. Ramesh, "Auto-Camera-Man: Multi-Sensor Based Real-Time People Detection and Tracking System," Technical Report, Siemens Corporate Research, Princeton, NJ, USA, Nov. 1999.):

$$\sigma_{\tan \hat{\alpha}}^2 = \frac{\sigma_{\hat{D}_p}^2}{D_p^4} \left( (H_p - R_h - H_f)^2 + \sigma_{\hat{H}_p}^2 + \sigma_{\hat{R}_h}^2 + \sigma_{\hat{H}_f}^2 \right)$$

$$+ \frac{\sigma_{\hat{H}_p}^2 + \sigma_{\hat{R}_h}^2 + \sigma_{\hat{H}_f}^2}{D_p^2} \quad (13)$$

$$\sigma_{\sin \theta}^2 = \frac{R_p^2 \sigma_{\hat{v}}^2 \cos^2 v}{D_p^2} + (\sin^2 v + \sigma_{\hat{v}}^2 \cos^2 v) *$$

$$* \left( \frac{R_p^2 \sigma_{\hat{D}_p}^2}{D_p^4} + \frac{\sigma_{\hat{R}_p}^2}{D_p^2} + \frac{\sigma_{\hat{R}_p}^2 \sigma_{\hat{D}_p}^2}{D_p^4} \right) \quad (14)$$

15 Given the uncertainties in the estimates, the method derives the horizontal and vertical angle of view for the foveal camera,  $\gamma_h$  respectively  $\gamma_v$ , which map directly to the zoom parameter  $z$ . FIGs. 4 and 5 show the geometric

relationships for the vertical case. Following equation provides the vertical angle of view.

$$\gamma_v = 2a \tan \left( \frac{\hat{R}_h + f_v \sigma_{\tan \alpha} \hat{D}_p}{\sqrt{\hat{R}_h^2 + \hat{D}_p'^2}} \right) \text{ with } \hat{D}_p' = \frac{\hat{D}_p}{\cos \alpha} \quad (15)$$

5 where factor  $f_v$  solves for  $\int_0^{\frac{f_v}{2}} N(0,1) d\xi = \frac{x_z}{2} \%$  given user

specified confidence percentile  $x_z$  that the head is display in the foveal frame. Similar derivations apply for the horizontal case.

10 The method verifies the correctness of the theoretical expressions and approximations through extensive simulations. only show plots validating expressions for illumination normalization (eqn. 5), and for foveal camera control parameters (eqn. 13, 14). This validation assumes correctness of the underlying  
15 statistical models. Validation of the models on real data is discussed below.

The correctness of the models is verified by comparing ground truth values against module estimates for mean and variance of the running system. The  
20 following is an illustration of an embodiment of the present invention, eight positions P1 - P8 are marked having different radial distances and pan angles. Positions and test persons were chosen to simulate different positions, illumination, and contrast. The  
25 table for the final foveal camera control parameters is

for one person. Ground truth values for the mean values were taken by measuring tilt angle  $\alpha$ , and pan angle  $\beta$  by hand, and are compared against the corresponding mean of system measurements estimated from 100 trials per position and person. The variances calculated from the system estimates for pan and tilt angle are compared against the average of the corresponding variance-estimates calculated based on the analysis. The comparison between system output and ground truth demonstrates the correctness of the model assumptions in the statistical modeling process (see Table 1).

Table 1: Validation: First two lines shows the predicted and experimental variances for the tilt angle, respectively. The next two lines correspond to pan angle.

$\times 10^{-5}$	P1	P2	P3	P4	P5	P6	P7	P8
$\hat{\sigma}^2_{\tan \hat{\alpha}}$	2.1	2.12	1.57	1.4	1.35	1.31	1.31	1.32
$\hat{\sigma}^2_{\tan \hat{\alpha}}$	2.05	2.04	1.6	1.34	1.36	1.32	1.4	1.31
$\hat{\sigma}^2_{\sin \hat{\beta}}$	28.9	26.1	21.3	17.9	15.3	15.2	18.4	20.1
$\tilde{\sigma}^2_{\sin \hat{\beta}}$	25.9	24.1	19.5	15.1	14.9	15	18.1	19.3

The performance of the running system will now be discussed. The output of the foveal camera is sufficient as input for face recognition algorithms. Illustrating how the statistical analysis is used to optimize the camera setup, equ. 13 and 14 suggest that the configuration that minimizes these uncertainties is the one with large inter-camera distance  $D_c$  and foveal camera height  $H_f$  equal to the mean person eye-level height  $H_p$ .

The present invention is reliable in terms of detection and zooming over longtime experiments within the operational limits denoted by the outer line of the upper right contour plot.

The setup of the system (for example, placement of foveal camera) influences precision globally and locally. Preferred directions of low uncertainties can be used to adapt the system to user defined accuracy constraints in certain areas of the room.

In another embodiment of the present invention, a system for monitoring in and around an automobile is presented. The invention uses an omni-directional sensor (a standard camera plus a mirror assembly) to obtain a global view of the surroundings within and outside the automobile. The omni-camera video is used for detection and tracking of objects within and around the automobile. The concept is an extension of the methods described above with respect to tracking objects

within a room. In this embodiment the system can be used to improve safety and security.

The video analysis system can include multiple modules. For example, a calibration module where the center of the Omni-camera image is used with height information of the ceiling of the automobile to translate image coordinates to ground plane coordinates. Where a CAD model of the automobile is available, the image coordinates can be mapped to a 3D point on the interior of the automobile using this calibration step (if the automobile is not occupied). Another example is a change detection module that compares a reference map (reference image plus variation around the reference image) to current observed image map to determine a pixel-based change detection measure. This is done by transforming the color video stream into normalized color space (to deal with illumination variation). The change detection measure is used to index into a set of possible hypothesis for object positions and locations. Yet another example includes a background update module for varying background conditions (e.g. gain control change, illumination changes ). A grouping module that takes the change detection measure along with a geometric model of the environment and the objects to identify likely object locations. In the current embodiment, the method provides the areas in the image corresponding to the





system can be use in conjunction with a pan-tilt camera to enable the capture of a zoomed up image of the persons involved. Once a person gains unauthorized access to the automobile and an alarm is triggered, a security system integrating vision, global positioning system (GPS) and mobile phone, can transmit the time, location and the face image of the person to a central security agency. In addition to the monitoring capability, the ability to present the panoramic view of the surroundings provides a method to alert the driver to potential danger in the surrounding area by visually emphasizing the region in the panoramic view. In addition, due to the mounting position of the Omni-camera, looking up into a parabolic mirror located on the ceiling of the automobile (preferably centered), parts of the surroundings that are invisible to the driver are visible in the Omni-view. Thus, the driver blind spot area is significantly reduced. By evaluating the panoramic view it is possible to trigger warnings, e.g., if other cars enter a driver's blind spot. If automobile status information (speed, steering wheel position, predicted track) is combined with panoramic video processing it is possible to alert a driver to impending dangers or potential accidents.

The present invention contemplates a system and method for tracking an object. The invention can be employed in varying circumstances, for example, video

conferencing, distance learning, and security stations where a user can define an area of interest there by replacing traditional systems employing banks of

monitors. The present invention also contemplates an

5 application wherein the system is used in conjunction with a data-log for recording time and location together with images of persons present. In a data-log

application the system can associate an image with recorded information upon the occurrence of an event,

10 e.g., a person sits at a computer terminal within an area defined for surveillance. The data-log portion of the system is preferably performed by a computer, where the computer records, for example, the time, location, and identity of the subject, as well as an accompanying

15 image. The present invention is not limited to the above applications, rather the invention can be implemented in any situations where object detection, tracking, and zooming is needed.

Having described preferred embodiments of the  
20 present invention having computationally efficient real-time detection and zooming capabilities, it is noted that modifications and variations can be made by persons skilled in the art in light of the above teachings. It is therefore to be understood that changes may be made in  
25 the particular embodiments of the invention disclosed which are within the scope and spirit of the invention as

defined by the appended claims.